



Personal Touch Financial Services Ltd

Trinity 3
Trinity Park
Birmingham
B37 7ES
Tel:0121 767 1070
Fax:0121 767 1015
Email:pi@personaltouchfs.com

Professional Indemnity Proposal Form – Architects

**Personal Touch Financial Services Ltd is authorised
and regulated by the Financial Services Authority**

IMPORTANT NOTICE

This proposal must be completed and signed by a Principal, Partner or Director of the Proposer. The person completing and signing the form should be authorised by the Proposer to do so and should make all necessary enquiries of his fellow Partners, Directors and Employees to enable all the questions to be answered.

All questions must be answered to enable a quotation to be given.

Completing and signing this proposal does not bind the Proposers or Insurers to enter a contract of insurance.

If there is insufficient space to answer questions, please use an additional sheet and attach it to this form (please indicate section number).

Architects

Please complete this Proposal form in **BLOCK CAPITALS** to avoid problems when transmitting by fax. For additional information or information that you cannot fit into the spaces provided please use a separate sheet.

- 1) Please provide the following details (including trading names) of the Proposer/s:
use a separate sheet if necessary

Name	Date Commenced

Website Address:

Email Address:

- 2) Is cover required for predecessor practices to the Proposer/s?

YES		NO	
-----	--	----	--

If YES, please provide full details:

Name of Predecessor	Date Commenced	Date Ceased	Reason for Cessation

- 3)

Name in full of all Principals	Qualifications	Date Qualified	How long as a Principal with Proposers

4) Is cover required for the previous business activities of any Principal?

YES NO

If YES, please state:

Name of Principal			
Name of Previous Firm			
Period	From / / To / /	From / / To / /	From / / To / /
Fees for Last 3 Yrs	Y/E / / £ Y/E / / £ Y/E / / £	Y/E / / £ Y/E / / £ Y/E / / £	Y/E / / £ Y/E / / £ Y/E / / £
Reason for Leaving			
Position in Firm			
Is there separate insurance covering the activities of this firm for the period stated above?			

5) PROFESSION/BUSINESS of the Proposer/s:

NOTE: If you act as planning/site supervisor in accordance with CDM Regulations you must ensure that this activity is included in this business description.

Architects

6) ADDRESS/ES of Proposer/s:

All addresses must be shown together with the Principal responsible for the work at each office:

Address	Principal In charge

7) DO NOT ANSWER IF PROPOSAL IS FOR RENEWAL OF INSURANCE WITH HCC

Name of Current Insurers	
Name of Broker	
Renewal Date	
Limit of Indemnity	
Premium	
Excess	

8) Is cover required for any past Partner or Principal?

YES NO

If YES, please state:

Name	Qualifications	How long with Proposer/s

Architects

9) Please state total numbers of:

Principals		Draughtsmen	
Qualified staff		Trained staff	
Others			

10) Do you require any sub-contractor to be indemnified under your insurance arrangements?

YES		NO	
-----	--	----	--

If YES, please state:

Name	Qualifications	Fees paid (last financial year)

11) State for the whole Proposer/s

(a) Gross fees received for each of the last five financial years:

				Last Year	Current Year	Next Year Estimate
Year End	/ /	/ /	/ /	/ /	/ /	/ /
UK Work	£	£	£	£	£	£
Overseas	£	£	£	£	£	£

Architects

(b) Split of Gross Fees in the last complete financial year:

	UK Work	Overseas
Architectural work stages C to L - new build	£	£
Architectural work stages C to L - non-structural refurbishment	£	£
Town Planning/Feasibility Studies	£	£
Architectural Consultancy	£	£
Interior Design	£	£
Quantity Surveying	£	£
Other Surveys	£	£
Purchase or Lending Valuations	£	£
Fees paid to independent consultants	£	£
Other work (give details)	£	£
TOTAL GROSS FEES	£	£

(c) Total Building Values certified in the past 12 months:

£

12) During the last **FIVE** financial years, approximately what percentage of fee income derived from:

Aborted work where no building resulted	%
Work where there was no responsibility to inspect	%

Architects

- 13) (a) Please give the approximate percentages applicable to the following expressed as a percentage of the total gross fees for the last complete financial year:

Public Sector Schools or Universities	%
Private Sector Schools or Universities	%
Public Sector Hospitals	%
Private Sector Hospitals	%
Other Healthcare	%
Public Sector Housing (Including Housing Associations)	%
Private Sector Housing Schemes	%
Private Sector Individual Houses	%
Churches/Cathedrals	%
Industrial	%
Retail	%
Commercial Schemes	%
Other (If over 10% give details)	%
	100%

- (b) Number of storeys in highest block completed during the last ten years:

IF OVER 10 STOREYS, please give details:

- 14) Does the Proposer/s now or has the Proposer/s in the past undertaken any services which may create a liability for pollution or contamination?

 YES NO

If YES, please ask for a supplementary environmental questionnaire.

- 15) (a) (i) Please provide details of the 5 largest single projects where construction has commenced during the past 6 years:

Start Date	Description	Total Contract Value	Extent of Service	Approximate Completion Date
1				
2				
3				
4				
5				

- (ii) Please provide details of the average total single project value of jobs undertaken in the last 3 years:

£

- (iii) Please provide details of the highest *own single project value of an individual job in the last 3 years:

£

* own single project value refers to the total value of the proposer's proportion of the total project (not just the fee element).

- (b) Please provide details of the 3 largest contracts where construction is expected to commence in the next 12 months:

Start Date	Description	Total Contract Value	Extent of Service	Approximate Completion Date
1				
2				
3				

16) Are all contracts in writing?

YES NO

If No please advise why not.

17) If a client changes a specification during the course of a job do you always confirm the changes to a client in writing explaining that it was the client's decision and whether following, or against your advice as appropriate.

YES NO

If NO, please explain the circumstances in which you would not confirm a change in writing

18) Has the proposer/s at any time undertaken any work where the "end product" is situated outside the United Kingdom?

YES NO

(a) If YES, please give the following details:

Country	Start Date	Description	Total Contract Value	Approximate Completion Date	Services Provided

(b) Does the Proposer/s work other than from its UK offices?

YES NO

(c) Has the Proposer/s at any time accepted liability other than under the jurisdiction of the UK courts?

YES NO

If YES, to either (b) or (c) then please provide full details listing jurisdiction and amount of work involved on a separate sheet.

- 19) (a) Is the Proposer/s or has the Proposer/s been a member of a consortium or group practice or engaged with any other party in a Single Project Partnership?

YES	NO
-----	----

If YES, please give full details (including names of other parties):
Special arrangements must be made to cover this type of work

--

- (b) Does the Proposer/s or any Principal have any association with or financial interest in any other Practice, Company or Organisation?

YES	NO
-----	----

If YES, give full details of the nature of the association together with the name and business of the third party:

--

- (c) Is the Proposer/s or any Principal or any related organisation engaged (either themselves or through sub-contractors) in:

- (i) Actual construction, fabrication or erection
- (ii) Property Development
- (iii) The manufacture, sale, leasing or distribution of any product or process

YES	NO
-----	----

If YES, please give full details:

--

20) Do you require insurance for:

Loss of Documents	YES	NO	Limit £
Dishonesty of Employees	YES	NO	
Libel & Slander	YES	NO	
Collateral Warranties, Duty of Care Agreements etc	YES	NO	

Some policies give this cover automatically; Collateral Warranties, Duty of Care Agreements etc require special attention and should be discussed with your Broker.

21) (a) For what Limit/s of Indemnity is quotations required?

(b) There will be a minimum level of uninsured excess. Is a quotation required with a voluntary excess to achieve a premium saving? If so, for what level of excess?

22) (a) In respect of ANY of the risks to which this proposal relates, has any claim been made (whether successful or not) against the Proposer or any past or present Principal?

YES		NO	
-----	--	----	--

(b) Has any loss been suffered by the Proposer, any predecessor or any past or present Principal in respect of ANY of the risks to which this proposal relates?

YES		NO	
-----	--	----	--

If YES, please give details:

Date of claim/loss	Brief details of each claim/loss	Cost of claim/loss	Estimated cost of claim/loss outstanding

Architects

(c) What steps have been taken to prevent a recurrence?

23) Is any Principal, AFTER FULL ENQUIRY, aware of any circumstance which might:

(i) any circumstance which might give rise to a claim against the proposer, any predecessor or any past or present principle.

YES	NO
-----	----

(ii) any circumstance which might cause any loss to the proposer, any predecessor or any past or present principle?

YES	NO
-----	----

(iii) any matter which might otherwise affect the consideration of this proposal for insurance?

YES	NO
-----	----

If YES to any of the above, please give details

24) Has any proposal for similar insurance made on behalf of the Proposer or any of the present or past partners, directors or principals, or on behalf of any predecessor to the Proposer ever been declined or has any such insurance ever been cancelled or renewal refused?

YES	NO
-----	----

If YES, please give details:

Architects

Please read this paragraph carefully before signing the declaration:

It is essential that every Proposer or Assured when seeking a quotation to take out or renew any insurance discloses to the prospective insurers all material facts and information (including all material circumstances) which might influence the judgement of an Underwriter in deciding whether to accept the risk and on what terms. The obligation to provide this information continues up until the time that there is a completed contract of insurance. Failure to do so entitles the insurers, if they so wish, to avoid the contract of insurance from inception and so enables them to repudiate liability thereunder. If you have any doubt as to what constitutes a material fact or circumstance please do not hesitate to ask for advice.

DECLARATION

I/we declare that, after full enquiry, the contents of this proposal are true and that I/we have not misstated, omitted or suppressed any material fact or information. I/we agree that this proposal together with any other information supplied by me/us shall form the basis of any contract of insurance which may be effected. If there is any material alteration to the facts and information which I/we have provided or any new material matter arises before the completion of the contract of insurance, I/we undertake to inform insurers.

I/we hereby consent to any information I/we have provided being processed by you for the purposes of providing insurance and claims handling, which may necessitate sharing such information with third parties.

HCC International Insurance Company PLC may use this information for marketing (by post, telephone, e-mail or fax) subject to the conditions of the Data Protection Act. If you do not wish these details to be used for marketing please inform HCC International Insurance co PLC in writing. Under the Data Protection Act 1998 you have the right to access or amend the information we hold about you. If you would like to exercise either of these rights please contact HCC International Insurance Company PLC.

Signature of Principal:

Date:

A copy of this proposal should be retained by you for your own records.

All questions must be answered fully, and those questions not relevant to you should be marked N/A.

If there is insufficient space, please provide details on your letterhead.